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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. P0261

First Inventor Kiyoshi Sandow

Title Standing Seam Skylight

Express Mail Label No. ER 216 163 996 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17) *(Submit an original and a duplicate for fee processing)*
2. Applicant claims small entity status. See 37 CFR 1.27.
3. Specification [Total Pages 24] *(preferred arrangement set forth below)*
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
5. Oath or Declaration [Total Pages 1]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d)) *(for continuation/divisional with Box 18 completed)*
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application; see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*
8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement *(when there is an assignee)* Power of Attorney
11. English Translation Document *(if applicable)*
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
15. Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP) of prior application No. _____

Prior application information: Examiner: _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label <i>(Insert Customer No. or Attach bar code label here)</i>		or <input checked="" type="checkbox"/> Correspondence address below		
Name Address City Country		Keeling Hudson, L.L.C. 901 North Post Oak Road Houston TX 77024 USA Telephone 713.680.1447 Fax 713.980.8567		
		Registration No. (Attorney/Agent)		31,842
Name (Print/Type)		Kenneth A. Keeling		Date 01/03
Signature				

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 478)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Kiyoshi Sandow
Examiner Name	
Art Unit	
Attorney Docket No.	P0261

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

Deposit Account:

Deposit Account Number
11-0307

Deposit Account Name

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments

Charge any additional fee(s) during the pendency of this application

Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	375
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$ 375)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
22	-20** = 2		X 9	= 18	
3	-3** = 0		X		

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 18)

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	2051 65		Surcharge - late filing fee or oath	
1052 50	2052 25	2052 25		Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	1053 130		Non-English specification	
1812 2,520	1812 2,520	1812 2,520		For filing a request for ex parte reexamination	
1804 920*	1804 920*	1804 920*		Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	1805 1,840*		Requesting publication of SIR after Examiner action	
1251 110	2251 55	2251 55		Extension for reply within first month	
1252 410	2252 205	2252 205		Extension for reply within second month	
1253 930	2253 465	2253 465		Extension for reply within third month	
1254 1,450	2254 725	2254 725		Extension for reply within fourth month	
1255 1,970	2255 985	2255 985		Extension for reply within fifth month	
1401 320	2401 160	2401 160		Notice of Appeal	
1402 320	2402 160	2402 160		Filing a brief in support of an appeal	
1403 280	2403 140	2403 140		Request for oral hearing	
1451 1,510	1451 1,510	1451 1,510		Petition to institute a public use proceeding	
1452 110	2452 55	2452 55		Petition to revive - unavoidable	
1453 1,300	2453 650	2453 650		Petition to revive - unintentional	
1501 1,300	2501 650	2501 650		Utility issue fee (or reissue)	
1502 470	2502 235	2502 235		Design issue fee	
1503 630	2503 315	2503 315		Plant issue fee	
1460 130	1460 130	1460 130		Petitions to the Commissioner	
1807 50	1807 50	1807 50		Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	1806 180		Submission of Information Disclosure Stmt	
8021 40	8021 40	8021 40		Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	2809 375		Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	2810 375		For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	2801 375		Request for Continued Examination (RCE)	
1802 900	1802 900	1802 900		Request for expedited examination of a design application	
Other fee (specify)				Nonpublication Request	85
Reduced by Basic Filing Fee Paid				SUBTOTAL (3)	(\$ 85)

SUBMITTED BY	(Complete if applicable)		
Name (Print/Type)	Kenneth A. Keeling	Registration No. (Attorney/Agent)	31,842
Signature	Date 8/11/03		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEE THE PATENT FEE SCHEDULE FOR PAYMENT ADDRESS.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kiyoshi Sandow

)
)
)

Serial No.:

Art Unit:

Filed:

Examiner:

For: Standing Seam Skylight

Mail Stop New Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

August 1, 2003

CERTIFICATE OF MAILING OF DECLARATION

I hereby certify that this Declaration is being deposited with the United States Postal Service as Express Mail Post Office to Addressee, Mailing Number ER 216 163 996 US in an envelope addressed to: Mail Stop New Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Kenneth A. Keeling

8/1/03
Date of Signature

Keeling Hudson L.L.C.
901 N. Post Oak Road
Houston, Texas 77024-3845
(713) 680-1447
(713) 680-8567 FAX

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kiyoshi Sandow)

Serial No.:)

Filed:)

For: Standing Seam Skylight)

) Art Unit:

) Examiner:

Mail Stop New Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

August 1, 2003

CERTIFICATE OF MAILING OF POWER OF ATTORNEY

I hereby certify that this Power of Attorney is being deposited with the United States Postal Service as Express Mail Post Office to Addressee, Mailing Number ER 216 163 996 US in an envelope addressed to: Mail Stop New Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Kenneth A. Keeling

8/1/03

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(713) 680-8567 FAX

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kiyoshi Sandow)

Serial No.:)

Filed:)

For: Standing Seam Skylight)

) Art Unit:

) Examiner:

Mail Stop New Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

August 1, 2003

CERTIFICATE OF MAILING

I hereby certify that these seven (7) sheets of drawings are being deposited with the United States Postal Service as Express Mail Number ER 216 163 996 US in an envelope addressed to: Mail Stop New Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Kenneth A. Keeling

8/1/03
Date of Signature

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Houston, Texas 77024-3845
(713) 680-1447
(713) 680-8567 FAX

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**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Kiyoshi Sandow
Title	Standing Seam Skylight
Attorney Docket Number	P0261

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

8/11/03
Date
Signature

(713) 680-1447

Kenneth A. Keeling

Telephone number

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.